

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (2/98)
Approved for use through 09/30/2000. OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 9144B

First Inventor or Application Identifier Thurman B. Hicks

Title Dead Bolt Lock

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 21]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages 5]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 - * Small Entity Statement filed in prior application, Status still proper and desired
14. ☒ Statement(s) (PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.20).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____/_____

Prior application information: Examiner _____

Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name John M. Harrison

Address 2139 E. Bert Kouns

City Shreveport

State

Louisiana

Zip Code

71105

Country U.S.A.

Telephone

318/797-3062

Fax

318/797-3063

Name (Print/Type)

John M. Harrison

Registration No. (Attorney/Agent)

24,968

Signature

John M. Harrison

Date

10/31/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

110303 14461 U.S. PTO

PTO/SB/17 (12-97)

Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 394.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Thurman B Hicks
Group Art Unit	
Examiner Name	
Attorney Docket Number	9144B

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.16 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE						
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description		Fee Paid
101	201	790	395	Utility filing fee	\$	385.00
106	206	330	165	Design filing fee		
107	207	540	270	Plant filing fee		
108	208	790	395	Reissue filing fee		
114	214	150	75	Provisional filing fee		
SUBTOTAL (1)					(\$)	385.00

2. CLAIMS

Total Claims	21	-20 =	1	Extra	X	Fee from below	9	Fee Paid	9.00
Independent Claims		-3 =			X				
Multiple Dependent Claims					X				

Large Entity		Small Entity				
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description		Fee Paid
103	203	22	11	Claims in excess of 20		
102	202	82	41	Independent claims in excess of 3		
104	204	270	135	Multiple dependent claim		
109	209	82	41	Reissue independent claims over original patent		
110	210	22	11	Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)					(\$)	9.00

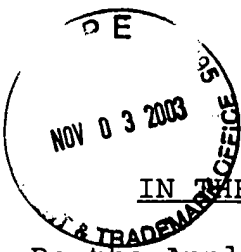
FEE CALCULATION (continued)

3. ADDITIONAL FEES								
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description		Fee Paid
105	205	130	65			Surcharge - late filing fee or oath		
127	227	50	25			Surcharge - late provisional filing fee or cover sheet		
139	139	130	130			Non-English specification		
147	147	2,520	2,520			For filing a request for reexamination		
112	112	920*	920*			Requesting publication of SIR prior to Examiner action		
113	113	1,840*	1,840*			Requesting publication of SIR after Examiner action		
115	115	110	55			Extension for reply within first month		
116	116	400	200			Extension for reply within second month		
117	117	950	475			Extension for reply within third month		
118	118	1,510	755			Extension for reply within fourth month		
128	128	2,060	1,030			Extension for reply within fifth month		
119	119	310	155			Notice of Appeal		
120	120	310	155			Filing a brief in support of an appeal		
121	121	270	135			Request for oral hearing		
138	138	1,510	1,510			Petition to institute a public use proceeding		
140	140	110	55			Petition to revive - unavoidable		
141	141	1,320	660			Petition to revive - unintentional		
142	142	1,320	660			Utility issue fee (or reissue)		
143	143	450	225			Design issue fee		
144	144	670	335			Plant issue fee		
122	122	130	130			Petitions to the Commissioner		
123	123	50	50			Petitions related to provisional applications		
126	126	240	120			Submission of Information Disclosure Stmt		
581	581	40	40			Recording each patent assignment per property (times number of properties)		
146	146	790	395			Filing a submission after final rejection (37 CFR 1.129(a))		
149	149	790	395			For each additional invention to be examined (37 CFR 1.129(b))		
Other fee (specify) _____								
Other fee (specify) _____								
* Reduced by Basic Filing Fee Paid					SUBTOTAL (3)		(\$)	

SUBMITTED BY

Typed or Printed Name	John M. Harrison	Complete (if applicable)	
Signature	<i>John M. Harrison</i>	R g. Number	24,968
Date	10/31/02	Deposit Account User ID	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application Of: Thurman B. Hicks

Application Serial No: not yet assigned

Filed:

For: Dead Bolt Lock (Utility Appl. from Provisional)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450 on 10/31/03 (Date of Deposit).

John M. Harrison
John M. Harrison

Oct 31, 2003
Date